

Bellatore Helps Bridge
the Gap Between
Where You Are &
Where You Want to Be

BELLATORE



BRIDGING THE GAP

Bellatore Financial, Inc. is a San Jose-based turn-key asset management provider that serves the portfolio construction and practice management needs of a select group of advisors. Our mission is to help financial advisors build a more efficient, effective, and profitable practice by providing the expertise, flexibility, and support that empowers them to positively impact the lives of their clients.

Advisors choose and stay with Bellatore because our partnership brings numerous benefits. Advisors gain confidence through our advisor-centered approach that treats them as a true partner, peace of mind that comes from knowing their clients' assets are in the care of a strong and competent team and connectedness with a community of likeminded advisors. These benefits are delivered through our expert service and support and combine to help you bridge the gap between where you are and where you want to be.

THE BELLATORE DIFFERENCE

Unlike any other firm, Bellatore offers advisors the flexibility to build custom client portfolios or their own model portfolios while having access to a team of investment experts dedicated to helping with asset allocation, fund selection and ongoing management.

Our services allow you to:

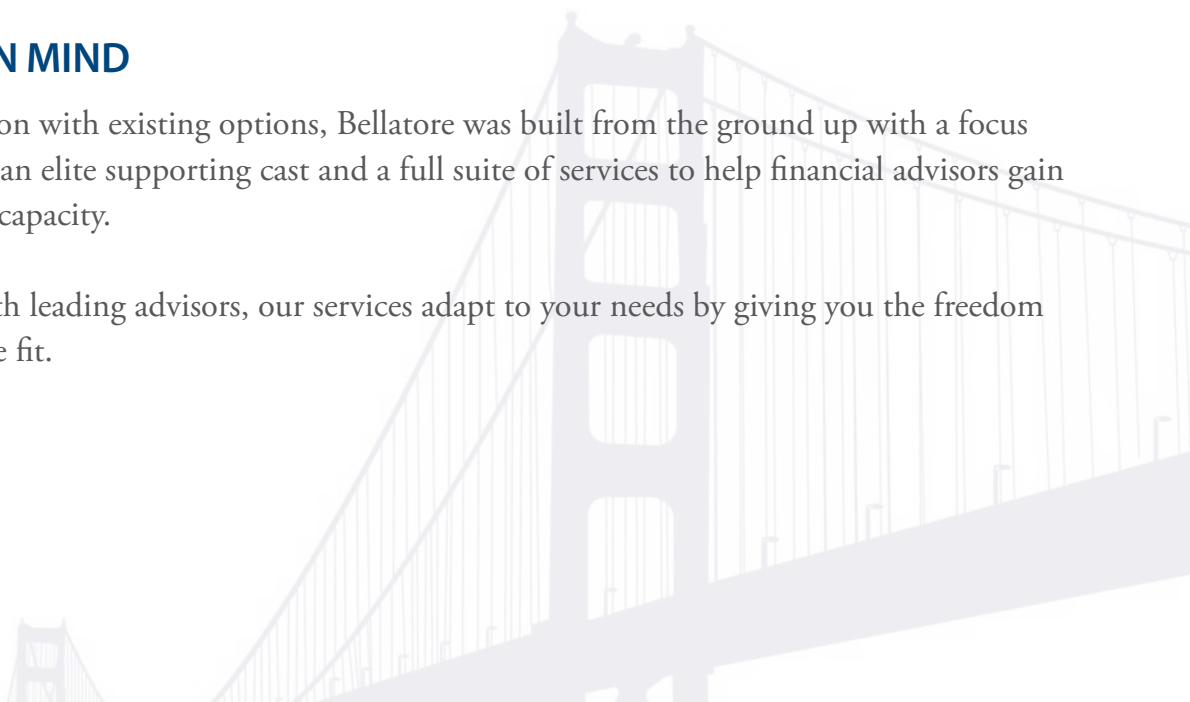
- Maintain a level of control and flexibility over your investments
- Stop selling all your clients' assets to transition to a new firm
- Use more than a single fund company
- Access a team of investment experts to help with asset allocation and fund selection
- Carry over cost basis and, in some cases, performance
- Outsource monitoring and rebalancing
- Customize your client service experience



BUILT WITH YOU IN MIND

Born out of dissatisfaction with existing options, Bellatore was built from the ground up with a focus on providing flexibility, an elite supporting cast and a full suite of services to help financial advisors gain more time and increase capacity.

Built in conjunction with leading advisors, our services adapt to your needs by giving you the freedom to do business as you see fit.



PARTNER WITH INVESTMENT EXPERTS

Today's market conditions have advisors searching for greater diversification, a more adaptive investment approach and ways to meet the changing lifestyle needs of their clients. Going it alone is time consuming and can be overwhelming.

That's why Bellatore turned to some of the most recognized and esteemed names from the fields of finance and economics to develop the philosophy and process that is core to our investment programs. You gain an academically-based, real world second opinion that can help you better manage your client's investments.

STRATEGIC INVESTMENT GUIDANCE



Harry M. Markowitz, PhD
Nobel Prize-Winning Economist
Founder of Modern Portfolio Theory



Meir Statman, PhD
Behavioral Finance Expert
Glenn Klimek Professor of Finance, Leavey School of Business, Santa Clara University



Sanjiv Das, PhD
Risk Management Expert
Professor of Finance, Leavey School of Business, Santa Clara University



BENEFITS FOR YOU & YOUR CLIENTS

- More time focused on meeting with clients
- Confidence using an academically researched, market-tested approach
- Peace of mind knowing that your investments are monitored by a dedicated team of experts

INVESTMENT MANAGEMENT



Jonathan Scheid, CFA
Research & Portfolio Management
President & Chief Investment Officer
Bellatore Financial, Inc.



LEVERAGE THE RIGHT TOOLS

Conducting due diligence, staying on top of asset class trends and building efficient portfolios all consume time that could be better spent with your clients. Working with Bellatore gives you access to the investment tools and marketing support your business demands.

INVESTMENT TOOLS

- Comparative portfolio analysis
- Online proposal tools
- Custom model support
- Stress tests, overlap and asset class analysis

MARKETING SUPPORT

- Custom-branded marketing materials, reports and statements
- Weekly and monthly investor-friendly letter templates
- Quarterly newsletters & presentations
- One-on-one client preparation meetings

Providing you with the convenience of having one source for your investment tools and marketing needs.

SOLUTIONS FOR SUCCESS

We understand how frustrating and time consuming it can be to spend over 40% of your week (that's two days!) on administrative and investment duties.¹ That's why we created a practical, flexible set of outsourcing solutions designed to help you increase your capacity without the extra overhead.

WE FOCUS ON:

INVESTMENTS & RESEARCH

- Model & Custom Portfolios
- Fund Search & Selection
- Academically Backed Investment Expertise

MARKETING & PRACTICE MANAGEMENT

- Communication Support
- Custom Marketing Materials
- Growth Strategies & Goal Planning

OPERATIONS & TECHNOLOGY SUPPORT

- Full Service Account Support
- Trading & Cash Management
- Billing & Performance Reporting

SO YOU CAN FOCUS ON:

- CLIENT ENGAGEMENT
- FINANCIAL PLANNING & ADVICE
- GROWING YOUR BUSINESS

LEARN HOW WE CAN HELP YOU BRIDGE THE GAP

We are an extension of your office, handling your investment and administrative needs—trading, research, due diligence, reporting and billing—so you can focus on client relationships and growing your business.

Visit www.bellatore.com or call (877) 242-9949.

¹ Source: Cerulli Associates, 2007.